United States District Court

for the

S	Southern District of New York
MULLEN AUTOMOTIVE, INC.,)))
Plaintiff(s) v. IMC FINANCIAL MARKETS, CLEAR ST MARKETS LLC, UBS SECURITIES, LLC, a DOES 1 THROUGH 10.	,
Defendant(s))

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

UBS Securities LLC
251, Little Falls Drive, Wilmington
New Castle, DE, 19808

A lawsuit has been filed against you.

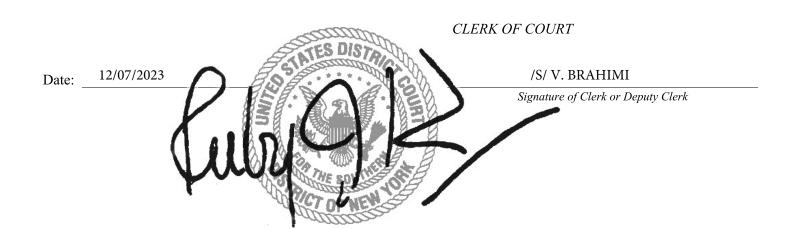
Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney,

whose name and address are:

Alan M. Pollack Warshaw Burstein, LLP 575 Lexington Avenue Ste 7th Floor New York, NY 10022

James Wes Christian, Esq. CHRISTIAN ATTAR 2302 Fannin, Suite 205 Houston, Texas 77002

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.



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Civil Action No.

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (1))

was re	This summons for <i>(nan ceived by me on (date)</i>	ne of individual and title, if any) .				
	☐ I personally served	the summons on the individual a	nt (place)			
			on (date)	; or		
	☐ I left the summons at the individual's residence or usual place of abode with (name)					
	\square I served the summons on (name of individual), v					
	designated by law to accept service of process on behalf of (name of organization)					
			on (date)	; or		
	☐ I returned the sumn	I returned the summons unexecuted because				
	☐ Other (specify):					
	My fees are \$	for travel and \$	for services, for a total of \$	0.00		
	I declare under penalty	of perjury that this information	is true.			
Date:						
			Server's signature			
			Printed name and title			
			Server's address			

Additional information regarding attempted service, etc: